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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Randall L. Carter et al.

Serial No.: 10/797,394

Filed: March 8, 2004

For Stabilized Polyorganosiloxane Composition



Group Art Unit:

Examiner:

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37CFR 1.97(b)**  
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**  
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**  
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**  
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

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Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below;
- ☐ 9 <sup>or</sup> The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

12/10/04  
Date



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General Electric Company  
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Customer Number: 006147

FORM PTO-1449  
(REV. 7-80)  
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

RD-27764-3

SERIAL NO.

10/797,394

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

LIST OF SYSTEMS

(Use several sheets if necessary)

Applicant

Randall L. Carter et al.

Filing Date

Group

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA 3 5 2 9 0 3 5	09/15/70	Lamoreaux et al.			
	AB 3 7 1 5 2 3 2	02/06/73	Audesse			
	AC 3 9 4 6 2 6 3	03/23/76	Protzeller			
	AD 4 8 0 1 6 4 2	01/31/89	Janik et al.			
	AE 4 8 7 7 8 2 0	10/31/89	Cowan			
	AF 4 9 0 0 7 7 9	02/13/90	Leibfried			
	AG 5 0 0 8 3 6 0	04/16/91	Bard et al.			
	AH 5 0 1 3 8 0 0	05/07/91	Inoue			
	AI 5 0 2 5 0 4 8	06/18/91	Burnier			
	AJ 5 0 7 7 1 3 4	12/31/91	Leibfried			
	AK 5 0 3 4 0 6 1	07/23/91	Maguire et al.			

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
AL	0 1 7 5 3 3 3	09/16/85	EPO				
AM	9 6 1 6 1 1 0	11/15/95	PCT (WO)				
AN							
AO							
AP							

### OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

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FORM PTO 1449  
(REV. 7-80)  
(Title Amended 3/83)

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*EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	5	1	1	8	7	3	5	06/02/92	Bumier			
	BB	5	1	5	8	9	9	2	10/27/92	Caselli et al.			
	BC	5	1	8	0	6	9	4	01/19/93	Renlund et al.			
	BD	5	3	5	0	7	8	6	09/27/94	Costanzi et al.			
	BE	5	4	4	6	1	1	3	08/29/95	Lewis			
	BF	5	5	6	1	1	7	9	10/01/96	Borzatta et al.			
	BG	5	9	0	5	1	4	8	05/18/99	Krongauz et al.			
	BH	5	9	9	0	2	0	8	11/23/99	Andrews			
	BI	6	0	3	1	0	3	3	02/29/00	Pickett et al.			
	BJ												
	BK												

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	No
	BL														
	BM														
	BN														
	BO														
	BP														

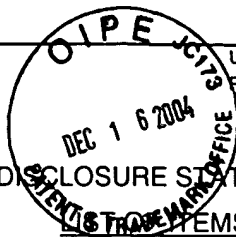
## OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA 5 7 9 2 8 2 5	08/11/98	Karrer et al.			
	CB 5 6 7 9 7 3 3	10/21/97	Malik et al.			
	CC 3 7 7 5 4 5 2	11/27/73	Karstredy			
	CD					
	CE					
	CF					
	CG					
	CH					
	CI					
	CJ					
	CK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	CL						
	CM						
	CN						
	CO						
	CP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

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	CT	

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